

How do our services compare to yours.



Plan Sponsor Services

Current ACEC RT

Fiduciary Services:

Fiduciary Training Webinars	<input type="checkbox"/>	<input checked="" type="checkbox"/>
Fiduciary Responsibility Liability of the Trust (majority based on regulatory allowance)	<input type="checkbox"/>	<input checked="" type="checkbox"/>
Quarterly Trust Webinar	<input type="checkbox"/>	<input checked="" type="checkbox"/>
Plan Sponsor Forum	<input type="checkbox"/>	<input checked="" type="checkbox"/>
Annual Plan Review	<input type="checkbox"/>	<input checked="" type="checkbox"/>
Meetings with Plan Committees	<input type="checkbox"/>	<input checked="" type="checkbox"/>
Plan Design Consulting	<input type="checkbox"/>	<input checked="" type="checkbox"/>
Plan Operational Consulting	<input type="checkbox"/>	<input checked="" type="checkbox"/>
Expense Analysis and Negotiation	<input type="checkbox"/>	<input checked="" type="checkbox"/>
Fee Disclosure Preparation and Distribution	<input type="checkbox"/>	<input checked="" type="checkbox"/>

Administrative/Recordkeeping:

Plan Annual Testing (mid-year testing as applicable)	<input type="checkbox"/>	<input checked="" type="checkbox"/>
Plan Signature Ready 5500	<input type="checkbox"/>	<input checked="" type="checkbox"/>
Dedicated Client Manager	<input type="checkbox"/>	<input checked="" type="checkbox"/>
Dedicated Relationship Manager (local assistance)	<input type="checkbox"/>	<input checked="" type="checkbox"/>
Hardship Processing Service	<input type="checkbox"/>	<input checked="" type="checkbox"/>
Auto Escalation Services	<input type="checkbox"/>	<input checked="" type="checkbox"/>
Required Plan Document Updates and SPD's	<input type="checkbox"/>	<input checked="" type="checkbox"/>
Student Loan Repayment Benefit Program	<input type="checkbox"/>	<input checked="" type="checkbox"/>
Safe Harbor Assistance	<input type="checkbox"/>	<input checked="" type="checkbox"/>
New Comparability Assistance	<input type="checkbox"/>	<input checked="" type="checkbox"/>
Loan Processing (provided allowed in plan)	<input type="checkbox"/>	<input checked="" type="checkbox"/>
Plan Administrator Assistance	<input type="checkbox"/>	<input checked="" type="checkbox"/>
Distribution Processing	<input type="checkbox"/>	<input checked="" type="checkbox"/>

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Plan Sponsor Services *continued*

Current ACEC RT

Specific Employer Education:

Plan Sponsor Educational Webinars	<input type="checkbox"/>	<input checked="" type="checkbox"/>
Quarterly Trust Update (webinar)	<input type="checkbox"/>	<input checked="" type="checkbox"/>
Fiduciary Training	<input type="checkbox"/>	<input checked="" type="checkbox"/>
Plan Sponsor Monthly Newsletter	<input type="checkbox"/>	<input checked="" type="checkbox"/>
Individual Participant Records and Access 24/7	<input type="checkbox"/>	<input checked="" type="checkbox"/>

Investment Support:

Maintain Plan Investment Policy Statement	<input type="checkbox"/>	<input checked="" type="checkbox"/>
3(38) Investment Services: Quarterly Investment Review & Action Items Quarterly Monitoring of Plan Investments Against IPS	<input type="checkbox"/>	<input checked="" type="checkbox"/>
Provide Open Architecture Options	<input type="checkbox"/>	<input checked="" type="checkbox"/>
Proprietary Investment Reporting	<input type="checkbox"/>	<input checked="" type="checkbox"/>

Participant Services

Current ACEC RT

Employee Education:

Student Loan Repayment Benefit Program	<input type="checkbox"/>	<input checked="" type="checkbox"/>
Financial Wellness Program	<input type="checkbox"/>	<input checked="" type="checkbox"/>
Educational Webinar Series	<input type="checkbox"/>	<input checked="" type="checkbox"/>
Weekly Market Email Update	<input type="checkbox"/>	<input checked="" type="checkbox"/>
Market Video Updates: Monthly, Quarterly and Annually	<input type="checkbox"/>	<input checked="" type="checkbox"/>
Participant Newsletter	<input type="checkbox"/>	<input checked="" type="checkbox"/>
Gap Analysis Tool - Are You on Track to Retire?	<input type="checkbox"/>	<input checked="" type="checkbox"/>
Account Review Report - Personal Financial Portal Financial Wellness	<input type="checkbox"/>	<input checked="" type="checkbox"/>
Help Center	<input type="checkbox"/>	<input checked="" type="checkbox"/>
Social Media Channels	<input type="checkbox"/>	<input checked="" type="checkbox"/>
Education Center: acecrt.com and acecrtplan.com	<input type="checkbox"/>	<input checked="" type="checkbox"/>
Enrollment Materials and Assistance	<input type="checkbox"/>	<input checked="" type="checkbox"/>
Point-in-Time Advice	<input type="checkbox"/>	<input checked="" type="checkbox"/>

How do our services compare to yours.



Participant Services *continued*

Current ACEC RT

Specific Employer Education:

On Site Education Meetings	<input type="checkbox"/>	<input checked="" type="checkbox"/>
Loan Processing/Education (as applicable)	<input type="checkbox"/>	<input checked="" type="checkbox"/>
Information Available 24/7	<input type="checkbox"/>	<input checked="" type="checkbox"/>
Asset Allocation Review	<input type="checkbox"/>	<input checked="" type="checkbox"/>
Retirement Readiness Checklist	<input type="checkbox"/>	<input checked="" type="checkbox"/>
Investment Education	<input type="checkbox"/>	<input checked="" type="checkbox"/>
Beneficiary Designation Assistance and Review	<input type="checkbox"/>	<input checked="" type="checkbox"/>
Rollover Assistance	<input type="checkbox"/>	<input checked="" type="checkbox"/>
Pre-retirement Education	<input type="checkbox"/>	<input checked="" type="checkbox"/>
Separation from Service Assistance	<input type="checkbox"/>	<input checked="" type="checkbox"/>
Assistance with Investment Changes	<input type="checkbox"/>	<input checked="" type="checkbox"/>
Managed Accounts Services are available to participants at an additional fee from each participating participant (Investment advice service)	<input type="checkbox"/>	<input checked="" type="checkbox"/>
Self-Directed Brokerage Services if allowed by plan (additional cost for service)	<input type="checkbox"/>	<input checked="" type="checkbox"/>

Cost(s)

ACEC Retirement Trust:

Plan Sponsor: Total Cost - \$25 per eligible employee (max \$2,500)

Participant Cost: Expense ratio based on participant investment election(s) - participant weighted average total cost 0.47%

Current Plan:

Plan Sponsor: _____

Participant Cost: _____



Retirement Trust

A retirement plan for engineers...by engineers.

For more information, contact Lydia Zabrycki at Lydia.Zabrycki@captrust.com or call (559) 284.0370 or visit our website, www.acecrt.com

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